



Production and trade of RTRS soy

GMP+ MI 101

In cooperation with: RTRS

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GMP+ Feed Certification scheme

History of the document

Revision no. / Date of approval	Amendment	Concerns	Final implementation date
0.0 / 03-2013	New document – GMP+ B101		-
1.0 / 11-2014	Based on the strategy to plug in various market initiatives in the GMP+ FRA Framework, the scope of this document is transferred from GMP+ B101 to this new GMP+ MI document. Together with the requirements in GMP+ B100 <i>Feed Responsibility Management System</i> , the scopes 'RTRS segregation' and 'RTRS Mass Balance' are created in this new document. No changes are made to the requirements.	Entire document	01.01.2015
2.0 / 05-2021	RTRS requested to introduce the requirements to register all transactions of RTRS soy in the Trading platform.	Par. 2.4	01.07.2021

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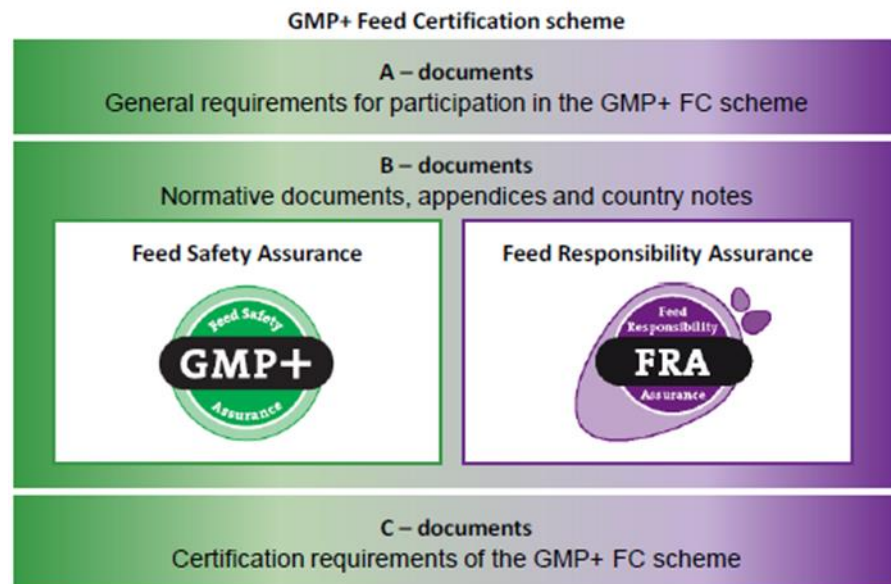
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1 Introduction

1.1 GMP+ FRA certification

The GMP+ Feed Certification scheme was initiated and developed in 1992 by the Dutch feed industry in response to various more or less serious incidents involving contamination in feed materials. Although it started as a national scheme, it has developed to become an international scheme that is managed by GMP+ International in collaboration with various international stakeholders.

Even though the GMP+ Feed Certification scheme originated from a feed safety perspective, in 2013 the first feed responsibility standard has been published. For this purpose, two modules are created: GMP+ Feed Safety Assurance (focused on feed safety) and GMP+ Feed Responsibility Assurance (focused on responsible feed).



With the development of the GMP+ Feed Responsibility Assurance module, GMP+ International is responding to requests by GMP+ participants. The animal feed sector is confronted with requests on working responsibly. This includes, for example, the use of soy (including soy derivatives and soy products) and fishmeal which are produced and traded with respect for humans, animals and the environment. In order to demonstrate responsible production and trade, a company can get certified for the GMP+ Feed Responsibility Assurance. The main goal of the GMP+ Feed Responsibility Assurance module is to facilitate GMP+ participants in meeting these market requirements for responsibly produced feed.

1.2 GMP+ FRA Framework & plugin market initiatives

Within the GMP+ Feed Responsibility Assurance module, various market initiatives can be facilitated. GMP+ International created the 'GMP+ FRA Framework' in which these market initiatives can be plugged in.

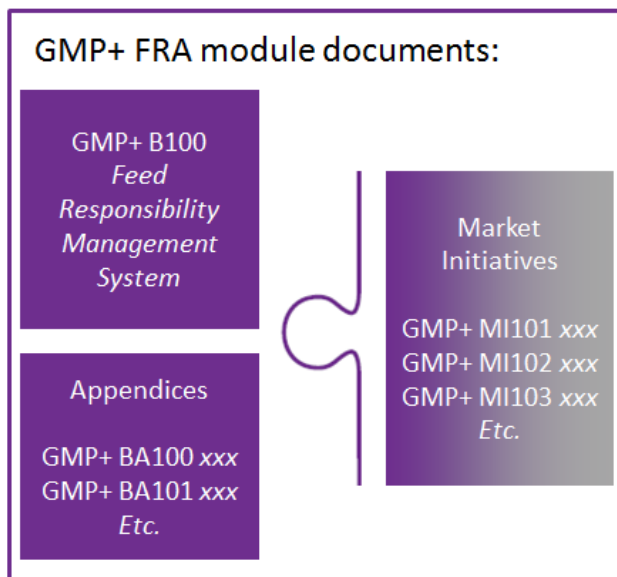
This basic framework consists of the following elements:

1. *Feed Responsibility Management System:*
 - Management system
 - Prerequisite program
 - Risk assessment and control
 - Purchasing / sourcing
 - A material accounting system for the control of one or more supply chain model.
2. *Certification System:*
 - Third party certification (by approved certification bodies)
 - Qualified auditors
 - Clear rules for audit and certification
 - Supervision (compliance audits) and integrity program.



The market initiatives of chain partners regarding responsible feed production and/or trade can be integrated in ('plugged in') this GMP+ FRA framework and together it becomes a complete standard with several scopes.

Below a visual of how the GMP+ FRA framework in combination with market initiatives is organised in documents in the GMP+ FRA module:



The GMP+ B100 *Feed Responsibility Management System* contains the requirements for the Feed Responsibility Management System and is used to control the requirements of a market initiative in one (or more) of the GMP+ MI documents. The GMP+ MI documents therefore contain a reference to the GMP+ B100 *Feed Responsibility Management System* and contain the scope(s).

All these documents are available via the website of GMP+ International (www.gmpplus.org).

This document is referred to as GMP+ MI101 *Production and trade of RTRS soy* and is part of the GMP+ FRA module.

1.3 RTRS Responsible soy

1.3.1 Round Table on Responsible Soy

- The Round Table on Responsible Soy Association (RTRS) is a multi-stakeholder initiative which aims to facilitate a global dialogue on soy production that is economically viable, socially equitable and environmentally sound. It provides stakeholders and interested parties – producers, social organizations and business and industry - with the opportunity to jointly develop global solutions leading to responsible soy production.
- The principal objective of RTRS is to “promote the growth and the use of responsible soy through co-operation with the supply chain and open dialogue between its stakeholders”.

The methods used by the RTRS to deliver its objectives include:

a) the development of a standard for responsible soy production and associated mechanisms for verification of responsible soy production. The RTRS Standard for Responsible Soy Production Version 1.0, is presented as a series of Principles, Criteria, Indicators and Guidance, and is designed to be used by soy producers to implement responsible production practices, and by certification bodies for field verification.

b) the development of Chain of Custody Standard, which describes requirements related to the control of RTRS certified soy, soy derivatives and soy products along the supply chain, including flows of material and associated claims. The RTRS Chain of Custody Standard was developed during 2010, and is presented as a series of auditable requirements designed to be used by organizations in the soy value chain to demonstrate implemented systems for control of RTRS certified soy, soy derivatives and soy products.

For further information on RTRS, please contact the RTRS Association via:

web: www.responsiblesoy.org
e-mail: technical.unit@responsiblesoy.org

GMP+ International worked together with RTRS on this scope document. The main goal was to provide GMP+ participants the possibility for certification for both Feed Safety Assurance and Feed Responsibility Assurance imbedden within a single certification scheme. This prevents overlap of requirements, ensures uniform standards and conditions and allows for limiting the administrative burden of certifications. One (successful) audit would result in certification of multiple scopes.

Many organisations involved in the soy production chain already have a GMP+ Feed Safety Assurance certificate. Growers of soy however not. For that reason, the scope of this document focuses on the organisations that process soy beans (such as soy crushers) and traders.

The growers of soy must be certified according to the RTRS Standard for Responsible Soy Production (see for more details in the purchasing requirements of this document).

1.4 Scope and application

1.4.1 Scope

Within this standard the following scopes are defined:

a. **RTRS Mass Balance**

If the participant uses the mass balance system, the company can produce and/or trade both non-responsible soy and responsible soy. Using a material accounting system the participant must ensure that no more responsible soy is sold in relation to what is bought. This system does not ensure that the participant sells in a specific batch, soy that is RTRS certified.

b. **RTRS Segregation**

If the participant uses the segregated system, the responsible soy is kept physically separate from non-responsible soy throughout the entire supply chain.

Guidance

It is possible for a participant to use either the mass balance system or the segregated system, but it is also possible to use both systems. In that case the segregated soy should be kept separate from the mass balance soy.

Both scopes can be used for:

- the production and trade of responsible soy (chain of custody).

1.4.2 Application

This document must be used in combination with the GMP+ B100 *Feed Responsibility Management System* document. The two documents together contain all the necessary requirements to comply with the scopes mentioned in 1.4.1. More information about this combination can be read in paragraph 1.2 and 2.1.

This document can be used:

- a. in addition to GMP+ Feed Safety standards
- b. in combination with an equivalent feed safety standard (see GMP+ BA10 for equivalent schemes)
- c. 'stand-alone'

1.5 Terms and definitions

In addition to the terms and definitions mentioned in GMP+ A2 *Definitions and Abbreviations* and in GMP+ B100 *Feed Responsibility Management System* the following terms are used in this document:

Term	Description
Soy	<p>Within this document the word “soy” is used to describe both the soy (beans), but also soy derivatives and soy products. This is done to make the standard easier to read. If a specific type of soy, soy derivative or soy product is mentioned in the text, then that specific type of soy, soy derivative or soy product is meant.</p> <p>Otherwise the word “soy” can read as soy, soy derivative or soy product.</p> <p>Examples of soy products can be found in the product list (part of Feed Support Products) on the website of GMP+ International.</p>

2 Requirements

This chapter contains the requirements for the scopes as mentioned in paragraph 1.4.1.

2.1 GMP+ B100 Feed Responsibility Management System

Besides the requirements in this document, the participant must also comply with some requirements in the GMP+ B100 *Feed Responsibility Management System*. This document contains the requirements for the Feed Responsibility Management System which is the basis for implementation of the requirements in this GMP+ MI document.

The participant must comply with the following requirements from GMP+ B100 *Feed Responsibility Management System*:

Chapter / Paragraph	Topic
2	Normative references (including the paragraphs in this chapter)
3	Terms and definitions
4	System requirements (including the paragraphs in this chapter)
5.1	Material accounting system
5.2	Segregation (in case of scope Segregation)
5.3	Mass Balance (in case of the scope Mass Balance)

Next to these GMP+ FRA *Feed Responsibility Management System* requirements, the participant must also comply with the requirements of this GMP+ MI document.

2.2 Purchasing requirements for the scope RTRS Segregation

For all feed that is labelled as compliant with this scope (see 4.5.1 from the GMP+ B100 standard), the responsible soy must comply with the purchasing requirements in this paragraph.

The participant shall purchase its responsible soy from a supplier that is certified according to the below specification:

Accepted certification scheme	RTRS Standard for Responsible Soy Production RTRS Chain of Custody Standard GMP+ MI101 with the scope <i>RTRS Segregation</i>
Accepted supply Chain model	<ul style="list-style-type: none"> Segregation

The supply chain model must be covered within the scope of certification of the supplier.

In addition to the above table, the participant may also purchase responsible soy from suppliers which are certified based on a standard approved by RTRS.

In addition to the accepted certification schemes as mentioned in the table above, it is also accepted to purchase responsible soy from companies that are certified for a certification scheme approved by RTRS as equivalent. This can be applicable for both soy production and Chain of Custody certification.

All RTRS approved certification schemes are published on the website of RTRS: www.responsiblesoy.org.

2.3 Purchasing requirements for the scope RTRS Mass Balance

For all feed that is labelled as compliant with this scope (see 4.5.1 from the GMP+ B100 standard), the responsible soy must comply with the purchasing requirements in this paragraph.

The participant shall purchase its responsible soy from a supplier that is certified according the below specification:

Accepted certification scheme	RTRS Standard for Responsible Soy Production RTRS Chain of Custody Standard GMP+ MI101 with the scope <i>RTRS Mass Balance</i>
Accepted supply Chain model	<ul style="list-style-type: none"> Mass Balance

The supply chain model must be covered within the scope of certification of the supplier.

In addition to the above table, the participant may also purchase responsible soy from suppliers which are certified based on a standard approved by RTRS.

In addition to the accepted certification schemes as mentioned in the table above, it is also accepted to purchase responsible soy from companies that are certified for a certification scheme approved by RTRS as equivalent. This can be applicable for both soy production and Chain of Custody certification.

All RTRS approved certification schemes are published on the website of RTRS: www.responsiblesoy.org.

2.4 Trading Platform

The GMP+ certified company must register every transaction (purchase and sale) of RTRS soy on the online trading platform for the registration of RTRS certified material transactions.

Guidance

The Trading Platform can be accessed via the website of RTRS: www.responsiblesoy.org
Or via the direct link: <https://platform.responsiblesoy.org>

2.5 RTRS logo & claims

Participants certified for the scopes RTRS Segregation and RTRS Mass Balance within the GMP+ FRA module are also allowed to use the RTRS logo and RTRS claims on their products, accompanying documents and other statements. The criteria for use of the RTRS logo and claims can be found in the document “RTRS Use of the Logo & Claims Policy” which can be found on the RTRS website: www.responsiblesoy.org.

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